

PRIME XBT

FAIS DISCLOSURE
DOCUMENT

INTRODUCTION

In terms of the General Code of Conduct of the FAIS Act, **PrimeXBT (Pty) Ltd (Registration number 2013/099697/07)** is required to disclose the information in this document to you as an authorised Financial Services Provider. You are therefore requested to read through the document carefully and sign the acknowledgement that you have read and understand the contents hereof. If there is anything in this document that you do not understand, please request further information from us. You are entitled to a copy of this document for your own records and to request a copy of our FSP license certificate.

THE REPRESENTATIVE(S)

Name and Surname Alexander Angus De Bruyn
Email address info@primexbt.co.za

Name and Surname David Hancock
Email address info@primexbt.co.za

PrimeXBT (Pty) Ltd accepts responsibility for the activities of the Representative insofar as it is performed within the scope of the contractual agreement between PrimeXBT (Pty) Ltd and the Representative.

The Representative is currently rendering financial services under supervision: Yes No

FINANCIAL SERVICE PROVIDER, PRODUCTS AND CONTACT DETAILS

The Financial Sector Conduct Authority has duly authorised PrimeXBT (Pty) Ltd to render financial services as defined in terms of the FAIS Act in respect of the following financial products:

Category Description	Advice Automated	Advice Non-automated	Intermediary Scripted	Intermediary Other
CATEGORY I				
Shares				X
Money market instruments		X		X
Bonds		X		X
Derivative instruments		X		X
CATEGORY II - Discretionary FSP				
Money market instruments				X
Bonds				X
Derivative instruments				X

COMPANY DETAILS

Physical address	180 Lancaster Road, Gordons Bay, Western Cape, 7140
FSP license number	45697
Company registration	2013/099697/07
Legal status	Private Company
Postal Address	180 Lancaster Road, Gordons Bay, Western Cape, 7140

EXEMPTIONS

The FSP was not awarded any exemptions from the Act.

PRODUCT SUPPLIERS

PrimeXBT (Pty) Ltd offers the products of the below product suppliers as set out in the Terms & Conditions, published on the website of PrimeXBT (Pty) Ltd at www.primexbt.co.za.

PXBT Trading Ltd, licensed by the Financial Services Authority in Seychelles under license number SD162, serves as the liquidity provider and market maker for Contracts for Difference products.

CONFLICT OF INTEREST MANAGEMENT POLICY

PrimeXBT (Pty) Ltd has adopted and implemented a conflict of interest management policy that complies with the provisions of the FAIS Act. The conflict of interest management policy is published on the website of PrimeXBT (Pty) Ltd at www.primexbt.co.za.

INDEMNITY COVER

PrimeXBT (Pty) Ltd does not hold Professional Indemnity and Fidelity cover.

EXTERNAL COMPLIANCE OFFICER(S)

Name	Outsourced Compliance Services (Pty) Ltd (Registration number 2017/394441/07 and Practice number 7154)
Physical address	Johannesburg address: Office 11, 13th Floor, Green Park Corner, Sandton, Gauteng, South Africa, 2196 Cape Town address: The Launch Pad, 180 Lancaster Road, Gordons Bay, Western Cape, South Africa, 7140
Contact person	Mr JJ van Zyl, Mr FB Steenkamp & Mr NM Phiri
Telephone number	+27(0)11 568 0925
	info@outsourcedcompliance.co.za

INTERNAL COMPLIANCE OFFICER / CONTACT PERSON(S)

Mr JJ Van Zyl - 011 568 0925

Mr NM Phiri - 061 7093563

Mr FB Steenkamp - 083 5708928

COMPLAINTS

Should you wish to pursue a complaint, you should address the complaint in writing, as per our Complaints Handling Policy found on the official website.

If you cannot settle your complaint with us, you are entitled to refer it to the office of the FAIS Ombud:

Telephone number	0860 324 766
E-mail	info@faisombud.co.za

The Ombud has been created to provide you with a redress mechanism for any inappropriate financial advice that you feel may have been given to you by a financial services provider.

FINANCIAL INTELLIGENCE CENTRE ACT (“FICA”)

In terms of FICA, PrimeXBT (Pty) Ltd is an accountable institution. By taking a risk-based approach, we are required to identify our prospective clients, verify the given information and keep records of the verifying documents. We are also obliged to report suspicious and unusual transactions that may facilitate money laundering to the authorities.

DISCLAIMER

You should note that there are risks involved in buying or selling any financial product including crypto assets, and past performance of a financial product is not necessarily indicative of the future performance. The value of financial products including crypto assets can increase as well as decrease over time, depending on the value of the underlying securities and market conditions. Prior to selecting any financial product including crypto assets, it is recommended that you seek specialised financial, legal and tax advice.

CLIENT ACKNOWLEDGMENT

By opening a trading account with PrimeXBT (Pty) Ltd, you confirm your agreement to PrimeXBT (Pty) Ltd’s official Terms & Conditions and other legal documents, including the terms outlined in this document.